

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. PATENT APPLICATION NO. ....10/726,994  
FILING DATE .....3 December 2003  
INVENTORS .....Kedar R. Belhe et al.  
ASSIGNEE.....St. Jude Medical Puerto Rico B.V.  
ART UNIT.....3731  
EXAMINER.....Julian W. Woo  
ATTORNEY'S DOCKET NO.....47563.0015  
TITLE..... "Suture Based Vascular Closure Apparatus and Method Incorporating a Pre-Tied Knot"

### **INFORMATION DISCLOSURE STATEMENT**

To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

From: Scott C. Nielson  
HOLLAND & HART LLP  
Customer No. 57600  
Telephone: (801) 799-5858  
Facsimile: (801) 799-5700

Submitted herewith on Form PTO/SB/08 is a listing of documents in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. All listed documents are requested to be considered by the Examiner and made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The submission of any document or statement herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

Applicant respectfully requests expeditious examination and a favorable action on the merits.

Respectfully submitted,

Date: 18 January 2007

/Scott C. Nielson/  
Scott C. Nielson  
Attorney for Applicant  
Reg. No. 50,755

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	10/726,994
				Filing Date	3 December 2003
				First Named Inventor	Kedar R. Belhe
				Art Unit	3731
				Examiner Name	Julian W. Woo
Sheet	1	of	1	Attorney Docket Number	47563.0015

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	US-6,059,800	05-09-2000	Hart et al.	
	A2	US-5,643,292	07-01-1997	Hart	
	A3	US-4,923,461	05-08-1990	Caspari et al.	
	A4	US-4,760,848	08-02-1988	Hasson	
	A5	US-4,641,652	02-10-1987	Hutterer et al.	
	A6	US-3,871,379	03-18-1975	Clarke	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

3656593\_1.DOC